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	Application Number		10809163		
INFORMATION DISCLOSURE	Filing Date		2004-03-25		
	First Named Inventor Joshua		ua T. Goodman		
(Not for submission under 37 CFR 1.99)	Art Unit		2131		
	Examiner Name	Unkn	own		
	Attorney Docket Numb	er	MS305314.01/MSFTP596US		
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	First Named Inventor	First Named Inventor Joshua T. Goodman			
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2131		
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INFORMATION DISCLOSURE	First Named Inventor	Joshu	a T. Goodman		
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	First Named Inventor Joshu		nua T. Goodman	
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		-		Application Number	10/809,163	
INFC	DRMATIO	N DISC	LOSURE	Filing Date	March 25, 2004	,
STA	TEMENT	BY AP	PLICANT	First Named Inventor	Joshua T. Goodman	
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Sheet	2	of	2	Attorney Docket Number	MS305314.1/MSFTP596US	7

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	Application Number	10/809,163
SUPPLEMENTAL INFORMATION	Filing Date	March 25, 2004
DISCLOSURE STATEMENT	First Named Inventor	Joshua T. Goodman
BY APPLICANT	Art Unit	2131
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Sheet 1 of 7	Attorney Docket Number	MS305314.1/MSFTP596US

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SUPPLE		NFORMATION	Application Number	10/809,163	
	SURE STA		Filing Date	March 25, 2003	
			First Named Inventor	Joshua T. Goodman	
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Substitute for fe	1449/PTO			Complete if Known				
	EMENTA	L		Application Number	10/809,163			
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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Signature	/Fatoumata Traore/	Considered	09/20/2007

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		NON PATENT LITERATURE DOCUMENTS	
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Signature	/Fatoumata Traore/	Considered	03/20/2001

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Substitute	e for form 1449/PTO		Complete if Known Application Number 10/809,163 ISCLOSURE Filing Date March 25, 2004 APPLICANT First Named Inventor Joshua T. Goodman Art Unit 2131 Examiner Name Unknown Attorney Docket Number MS305314 1/MSETP596US			
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	LEMENTA			Application Number	10/809,163
			CLOSURE	Filing Date	March 25, 2004
			PPLICANT	First Named Inventor	Joshua T. Goodman, et al.
				Art Unit	2131
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Sheet	2	of	2	Attorney Docket Number	MS305314.01/MSFTP596US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/F.T./		European Search Report, EP31087TE900, mailed 11-11-04	
/F.T./		J. BYRNE, My Spamblock, Google, January 19, 1997, 2 pages	
/F.T./		D. F. SKOLL, How to Make Sure a Human is Sending You Mail, Google, November 17, 1996, 2 pages	
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				First Named Inventor	Joshua T. Goodman, et al.	
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		PLEMENTA	L		Application Number	10/809,163
	INFC	ORMATION	DISC	CLOSURE	Filing Date	March 25, 2004
	STA	TEMENT E	BY AF	PLICANT	First Named Inventor	Joshua T. Goodman, et al.
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	Sheet	2	of	2	Attorney Docket Number	MS305314.01/MSFTP596US

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Co	mplete if Known
Application Number	10/809,163
Filing Date	03-25-2004
First Named Inventor	Joshua Goodman
Art Unit	2131
Examiner Name	
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SUPPLEMENTAL				Application Number	10/809,163				
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STATEMENT BY APPLICANT			ANT	First Named Inventor	Joshua Goodman				
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	Application Number	10/809,163
	Filing Date	03-25-2004
	First Named Inventor	Joshua Goodman
	Art Unit	2131
	Examiner Name	
_	Attorney Docket Number	MS305314.01/MSFTP596US

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				Application Number	10/809,163		
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	Filing Date	2004-03-25
INFORMATION DISCLOSURE	First Named Inventor	Joshua Goodman
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	Examiner Name	· · · · · · · · · · · · · · · · · · ·
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	Filing Date		2004-03-25		
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Subslitute for for	m 1449B/PTO			Complete If Known				
	EMENTAL		·	Application Number	10/809,163			
	MATION D	ISCLOS	SURE	Filing Date	03-25-04			
	MENT BY			First Named Inventor	Joshua T. Goodman			
				Art Unit	2131			
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Sheet	2	of	2	Attomey Docket Number	M\$305314.01/MSFTP596US			

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/F.T.		BREIMAN, FRIEDMAN, OLSHEN, and STONE. "Classification and Regression Trees." Wodsworth & Brooks, Monterey, CA (1984).	
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/F.T./		Partial European Scarch Report, EP05100847, mailed 06-21-05, 5 pages.	
/F.T./		European Search Report, daned June 9, 2005, mailed August 22, 2005 for European Patent Application Serial No. EP04011978, 12 pages.	

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	Application Number	10809163
	Filing Date	2004-03-25
INFORMATION DISCLOSURE	First Named Inventor	Joshua Goodman
(Not for submission under 37 CFR 1.99)	Art Unit	2131
	·Examiner Name	
	Attorney Docket Numb	ber MS305314.01/MSFTP596US

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INFORMATION DISCLOSURE	First Named Inventor Jos		oshua T. Goodman	
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	Attorney Docket Num	ber	MS305314.01/MSFTP596US	
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	Filing Date		2004-03-25	· .
INFORMATION DISCLOSURE	First Named Inventor Joshu		shua T. Goodman	
(Not for submission under 37 CFR 1.99)	Art Unit	·	2131 .	•
	Examiner Name Unkno		Jnknown	
	Attorney Docket Number		MS305314.01/MSFTP596US	

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X	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).
	See attached certification statement.
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
X	None
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-	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2007-08-23
Name/Print	Himanshu S. Amin	Registration Number	40894
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	Application Number	10809163	
	Filing Date	2004-03-25	
INFORMATION DISCLOSURE	First Named Inventor Joshu	ua T. Goodman	
(Not for submission under 37 CFR 1.99)	Art Unit	2131	
	Examiner Name	•	
·	Attorney Docket Number	MS305314.01/MSFTP596US	

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See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

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Signature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2007-03-19
Name/Print	Himanshu S. Amin	Registration Number	40894

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Signature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2007-05-10
Name/Print	Himanshu S. Amin	Registration Number	40894

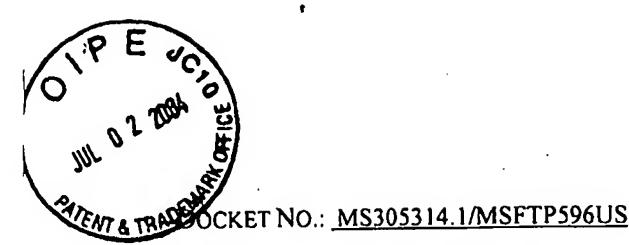
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of ÷: ; ,

Applicant:	Joshua T. Goodman, et al.
Serial No.:	10/809,163
Filed:	March 25, 2004
For:	TRAINING FILTERS FOR IP ADDRESS AND URL LEARNING
Art Unit:	2131
Examiner:	Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, Virginia 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120; or (c) U.S. Patent(s) and published applications(s) since the subject application was filed after June 30, 2003.

Serial No.: 10/<u>454,168</u> 6/4/03 Filing Date:

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ____Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ____Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
- 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
 - Within 3 months of the filing date or date of entry into the National Stage. (a) ____
 - Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the (b) <u>X</u> mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.

- 1 -

- (c) _____ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
 - (1) ____ The required certification is given below, or
 - (2) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
 - (3) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.
- (d) _____After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
 - (1) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
 - (2) ____ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.
- 4. Certification (if applicable)
 - (a) _____ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
 - (b) _____The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
- 5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

Jeffrey R. Sadlowski

Reg. No. 47,914

24TH Floor, National City Center 1900 East 9th Street Cleveland, Ohio 44114 (216) 696-8730

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Alexandria, Virginia 22313-1450.

6-28-2001 Date:

Jeffrév R. Sadlowski

- 2 -

O PE		r the Pa	perwork Reduction Act of 1995, no per	sons are required to re	U.S. Patent and Traden	nark Office; U.:	PTO/SB/08A (04-03) Trough 04/30/2003. OMB 0651-0031 S. DEPARTMENT OF COMMERCE Contains a valid OMB control number
JUL		•	or form 1449/PTO			nplete if F	
					Application Number	10/809,1	63
PATENTET	A A A A A A A A A A A A A A A A A A A		RMATION DISCLO	CIIDE	Filing Date	March 25	
					First Named Inventor	First Named Inventor Joshua T. Goodman	
	S	TAT	EMENT BY APPLI		Art Unit	2131	
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	Sheet	1	of 2		Attomey Docket Number	MS30531	14.1/MSFTP596US
	[· · · · · · · · · · · · · · · · · · ·	U. S. PATENI	DOCUMENTS		
	Examiner Cite Document Number Initials* No.' Number-Kind Code ^{2 (# Impun)}		Publication Date	Name of Patentee	-	Pages, Columns, Lines, Where	
						cant of Cited Document Relevant Passag Figures A	
			^{US-} 6,732,149	05-04-2004	Kephart		
			US- 6 700 600	04 27 2004	Mook et al		

Number-Kind Code ² (2 known)			Figures Appear
^{US-} 6,732,149	05-04-2004	Kephart	
^{US-} 6,728,690	04-27-2004	Meek et al.	
^{US-} 6,691,156	02-10-2004 ·	Drummond et al.	
^{US-} 6,684,201	01-27-2004	Brill et al.	·
^{US-} 6,592,627	07-15-2003	Agrawal et al.	
 ^{US-} 6,484,197	11-19-2002	Donohue	
^{US-} 6,424,997	11-23-2002	Buskirk Jr. et al.	
^{US-} 6,266,692	07-24-2001	Greenstein	
^{US-} 6,192,360	02-20-2001	Dumais et al.	
^{US-} 6,161,130	12-12-2000	Horvitz et al.	
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	FORE	IGN PATENT DOCU	MENTS		
Cite No.'	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
 	Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	
	•				
		•			

Examiner		Date	
Signature	•	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ' Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/0BB (08-03) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Substitute	for form 1449/PTC)		Complete if Known			
				Application Number	10/809,163		
INFO	RMATIO	N DIS	CLOSURE	Filing Date	March 25, 2004		
STA	FEMENT	BY AI	PPLICANT	First Named Inventor	Joshua T. Goodman		
	(Use as many s	haate as no	caseand	Art Unit	2131		
				Examiner Name	Unknown		
Sheet	2	of	2	Attorney Docket Number	MS305314.1/MSFTP596US		

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		KEVIN R. GEE, Using Latent Semantic Indexing to Filter Spam, SAC 2003, 2003, pp. 460-464, Melbourne, Florida, USA.	
		FABRIZIO SEBASTIANI. Machine Learning in Automated Text Categorization. ACM Computing Surveys, Vol. 34 Issue 1, pp. 1-47, 2002.	
		I. ANDROUTSOPOULOS, et al., Learning to Filter Spam E-mail: A Comparison of a Naive Bayesian and a Memory-based Approach, PKDD-2000, September 2000, 13 pages, Lyon, France.	
		THORSTEN JOACHIMS. Transductive Inference for Text Classification using Support Vector Machines. Proceedings of the 16th International Conference on Machine Learning, 1999. 10 pages.	

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Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of

Applicant:	Joshua T. Goodman, et al.
Serial No.:	10/809,163
Filed:	March 25, 2004
For:	TRAINING FILTERS FOR IP ADDRESS & URL LEARNING
Art Unit:	2131
Examiner:	Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

05/10/2007 07/02/2007

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: ______ Filing Date: ______

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

(a) ____ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.

(b) ____ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a) ____ Within 3 months of the filing date or date of entry into the National Stage.

(b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in

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the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R.
(c) _____ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

- (1) ____ The required certification is given below, or
- (2) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.
- (d) _____ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

4. Certification (if applicable)

- (a) ____ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) ____ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

Respectfully Submitted, AMIN & TUROCY, LLP

By

Himanshu S. Amin Reg. No. 40,894

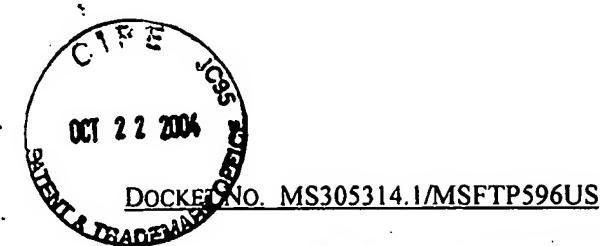
CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

Date: 10-8-04

Himanshu S. Amin

AMIN & TUROCY, LLP 24TH Floor, National City Center 1900 E. 9th Street Cleveland, Ohio 44114



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FW

In repatent application of

Applicant:	Joshua T. Goodman, <i>et al</i> .
Serial No.:	10/809,163
Filed:	March 25, 2004
For:	TRAINING FILTERS FOR IP ADDRESS & URL LEARNING
Art Unit:	2131
Examiner:	Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.:	10,454,168	,
Filing Date:	6/4/2003	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

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 - (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in

- 1 -

the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a (c) _____ notice of allowance.

- The required certification is given below; or (1)____
- Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this (2) Statement.
- After the mailing date of either a final action or a notice of allowance, but before payment of the issue (d) _____ fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or (1)____

- 4. Certification (if applicable)
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Respectfully Submitted, AMIN & TUROCY. LLP

By

Himanshu S. Amin Reg. No. 40,894

CERTIFICATE OF MAILING

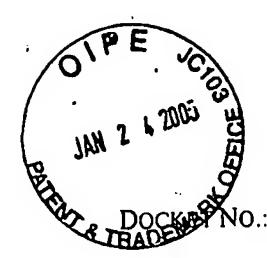
I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

- 2 -

Date: 10-19

Himanshu S. Amin

AMIN & TUROCY, LLP 24TH Floor, National City Center 1900 E. 9th Street Cleveland, Ohio 44114



MS305314.01/MSFTP596US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of

Applicant:	Joshua T. Goodman, et al.
Serial No.:	10/809,163
Filed:	March 25, 2004
For:	TRAINING FILTERS FOR IP ADDRESS AND URL LEARNING
Art Unit:	2131
Examiner:	Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120; or (c) U.S. Patent(s) and published applications(s) since the subject application was filed after June 30, 2003.

Serial No.:	10/454,168	<u> </u>
Filing Date:	6/4/03	

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_ 1 _

- (c) X After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
 - (1) <u>X</u> The required certification is given below, or
 - (2) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
 - (3) ____ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.
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Respectfully Submitted,

By

Hmanshu S. Amin Reg. No. 40,894

24TH Floor, National City Center 1900 East 9th Street Cleveland, Ohio 44114 (216) 696-8730

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: 1-18-05

Himanshu S. Amin

•	· · · ·	IPW
OTPOR	NET NO.: <u>MS305314.01/MSFTP596US</u>	
AR 3 1 2005		
In re-	Natent application of	
	licant: Joshua T. Goodman, et al.	
	al No.: 10/809,163	
Filed		
For:	TRAINING FILTERS FOR IP ADDRESS AND URL LEARNING	
Art l	Unit: 2131	
Exan	miner: Unknown	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
Sir:

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Serial No.:	10/454,168	
Filing Date:	6/4/03	

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- 1 -

- (c) ____After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
 - (1) _____ The required certification is given below, or
 - (2) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
 - (3) ____ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.
- (d) ____After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
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- 5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

By

Himanshu S. Amin Reg. No. 40,894

24TH Floor, National City Center 1900 East 9th Street Cleveland, Ohio 44114 (216) 696-8730

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

- 2 -

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450; Alexandria, Virginia 22313-1450.

Date: 3-28-0

Himanshu S. Amin

10/24/2005 15:19 FAX 218 896 8731

AMIN, & TUROCY LLP.

Ø 001

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DOCKET NO .: MS305314.01/MSFTP596US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of

Applicant:	Joshua T. Goodman
Serial No.:	10/809,163
Filed:	March 25, 2004
For:	TRAINING FILTERS FOR IP ADDRESS AND URL LEARNING
Art Unit:	2131
Examiner:	Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published applications(s).

Scrial No.:	10/454,168
Filing Date:	June 4, 2003

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

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 - (c) Before the mailing date of an Office Action after the filing of a request for cominued examination under 1.114.

PAGE 1/4 * RCVD AT 10/24/2005 4:26:22 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-44

(d) After the period set forth in 37 C.F.R. §1.97(b) but before the mailing date of either a final action or a notice of allowance.

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(2) Regarding the fee set forth in 37 C.F.R. §1.17(p) for consideration of this Statement :

(i) Enclosed is a check covering the fee;

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- 5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

Jeffrey R. Sadlowski Reg. No. 47,914

Amin & Turocy, LLP 24TH Floor, National City Center 1900 Bast 9th Street Cleveland, Ohio 44114 (216) 696-8730

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being faxed to 571-273-8300 on the date shown below to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10-24-2005

Datc

Jeffrey R. Sadlowsk

PAGE 2/4 * RCVD AT 10/24/2005 4:26:22 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-44

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	notice of allowance.

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- Charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063; or **(ii)**
- Enclosed is a credit card payment form covering the fee. (iii)
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Respectfully Submitted, Bγ Jeffrey R. Sadlowski

Reg. No. 47,914

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2

Date

ebecca Stanford

PAGE 2/9 * RCVD AT 1/20/2006 12:18:46 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):02-26

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JAN 2 0 2006

DOCKET NO.: MS305314.01/MSFTP596US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of

Applicant:	Joshua Goodman, et al.
Serial No.:	10/809,163
Filed:	03-25-2004
For:	TRADING FILTERS FOR ADDRESS AND URL LEARNING
Art Unit:	2131
Examiner:	Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published applications(s).

Serial No.:	10/454,168	
Filing Date:	06-04-2003	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise,

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PAGE 1/9 * RCVD AT 1/20/2006 12:18:46 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):02-26

02/27/2006 15:03 FAX 216 696 8731

AMIN, & TUROCY LLP.

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DOCKET NO.: MS305314.01/MSFTP596US

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PAGE 1/3 * RCVD AT 2/27/2006 3:11:06 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-5/13 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-04

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Respectfully Submitted,

Himanshu S Amin Reg. No. 40,894

Amin & Turocy, LLP 24TH Floor, National City Center 1900 East 9th Street Cleveland, Ohio 44114 (216) 696-8730

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PAGE 2/3 * RCVD AT 2/27/2005 3:11:06 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-5/13 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-04

AMIN, & TUROCY LLP.

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MAR 2 1 2006

DOCKET NO .: MS305314.01/MSFTP596US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application of

Applicant:	Joshua Goodman, et al.
Serial No.:	10/809,163
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Serial No.:	10/454,168	
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1

PAGE 1/6 * RCVD AT 3/21/2006 3:58:34 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/31 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-52

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AMIN, & TUROCY LLP.

RECEIVED 002/008 **CENTRAL FAX CENTER**

MAR 2 1 2006

- After the period set forth in 37 C.F.R. §1.97(b) but before the mailing date of either a final action or a (d) notice of allowance.
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Respectfully Submitted,

Himanshu S. Amin Reg. No. 40,894

Amin & Turocy, LLP 24TH Floor, National City Center 1900 East 9th Street Cleveland, Ohio 44114 (216) 696-8730

5. ·

CERTIFICATE OF FACSIMILE

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March 21, 2006

Date

Himanshu S. Amin

2

PAGE 2/6 * RCVD AT 3/21/2005 3:58:34 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/31 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-52

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10809163	
	Filing Date		2004-03-25	
	First Named Inventor Joshua Goodman			
	Art Unit	·	2131	
	Examiner Name			
	Attorney Docket Numb	er	MS305314.01/MSFTP596US	

|--|

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2006-05-11
Name/Print	Himanshu S. Amin	Registration Number	40894
This collection of	information is required by 37 CFR 1.	97 and 1.98. The information is requi	red to obtain or retain a benefit by th

EFS Web 1.0

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application Number		10809163	
	Filing Date		2004-03-25	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	First Named Inventor	Josh	shua Goodman	
	Art Unit	·	2131	
	Examiner Name			
	Attorney Docket Numb	er	MS305314.01/MSFTP596US	

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2006-07-31
Name/Print	Himanshu S. Amin	Registration Number	40894

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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	Application Number		10809163	
	Filing Date		2004-03-25	
INFORMATION DISCLOSURE	First Named Inventor	Joshu	ua T. Goodman	
(Not for submission under 37 CFR 1.99)	Art Unit		2131	
	Examiner Name	Unkn	own	·
	Attorney Docket Numb	er	MS305314.01/MSFTP596US	

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See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X None

SIGNATURE

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Signature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2006-11-29
Name/Print	Himanshu S. Amin	Registration Number	40894

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Signature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2006-11-07	
Name/Print	Himanshu S. Amin	Registration Number	40894	

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